

# Mid-America Credit Union Association

## Passing the BSA Exam: Risk, Due Diligence & OFAC

TELEPHONE – WEBCAST – AUDIO/VISUAL CD ROM

Tuesday, October 27, 2009

12:00 pm – 1:30 pm Pacific  
1:00 pm – 2:30 pm Mountain  
2:00 pm – 3:30 pm Central  
3:00 pm – 4:30 pm Eastern

*For your convenience, you may register online!*

**Register Now or Learn More About Your Registration Options – [click here](#)**

*If you prefer to mail or fax your registration, please use the link above and print the form.*

What common themes and issues will arise in this year's BSA examination process? There are 10 "hotspots" you should consider when preparing for a BSA exam. If you have a good customer/member identification program but haven't done due diligence or assigned risk to high-risk members, you might have problems. If you run OFAC nightly, but haven't considered non-member issues, you may be criticized in your exam. If you haven't established a risk assessment for your credit union and your members, now is the time to do so. It is time to take your BSA program to the next level. This webinar will show you how.

### HIGHLIGHTS

- Hotspot 1: Changing risk assessments of your credit union and policy changes
- Hotspot 2: Assigning risk to a member: How do we monitor member risk assessments and move members up and down in risk ratings?
- Hotspot 3: Tying risk to suspicious activity reporting
- Hotspot 4: Using the frontline for incident reports (frontline incident reporting, not SARS)
- Hotspot 5: Review and centralization of Suspicious Activity Reporting
- Hotspot 6: SARS and law enforcement requests
- Hotspot 7: OFAC risk assessment
- Hotspot 8: Determining how far to go in OFAC on non-member and member checks
- Hotspot 9: International ACH and OFAC
- Hotspot 10: Training, audits, and other recurring themes in examinations

### WHY SHOULD YOU PARTICIPATE?

This session is a cost-effective way to train employees with BSA responsibilities on creating and maintaining an "exam proof" anti-money laundering program. You may train as many individuals as you like for one set price. There will be no travel costs, no time lost from work, and no one will be required to leave the institution.

### WHO SHOULD ATTEND?

This informative session will be beneficial for all BSA officers, BSA coordinators, security officers, managers, and training personnel.

**PLEASE NOTE:** Your registration fee allows you to have **one telephone connection**. However, as many people as you like may listen from your office speaker phone. If you register for the

**webcast, your registration fee also includes one internet connection from a single computer terminal.**

**ABOUT THE PRESENTER – Deborah L. Crawford, gettechnical inc.**

Deborah Crawford is the President of gettechnical inc., a Baton Rouge-based firm, specializing in the education of financial institutions across the nation. Her 20+ years of experience began at Hibernia National Bank in New Orleans. She graduated from Louisiana State University with both her bachelor's and master's degrees.

Debbie specializes in the education of financial institution employees and officers in the area of deposit account laws, new account documentation, insurance, complex compliance regulations and IRAs.

**WHAT IS A TELEPHONE/WEBCAST SEMINAR?**

This training method allows unlimited listeners on your office speaker phone. By choosing the webcast option, participants will also be able to view online visuals as the presentation is delivered. Registrants receive a toll-free number and pass code that will allow entrance to the seminar. The session will be approximately 90 minutes, including question and answer sessions. Seminar materials will be sent prior to the broadcast along with hookup instructions. Your pin number, hookup instructions and handouts will be emailed to you. You will need the most current version of Adobe Acrobat Reader available free at [www.adobe.com](http://www.adobe.com).

**CAN'T ATTEND THE SCHEDULED TRAINING? ORDER THE AUDIO/VISUAL CD ROM!**

As an added benefit, you may purchase a CD Rom\* of this presentation. The CD includes the original audio/visual presentation, the question and answer sessions, and the handouts. In addition, you will be able to contact the presenter if you have follow-up questions. Use this "off-the-shelf" training program for those that could not attend the live seminar and for future training. **AFFORDABLE, PROFESSIONAL TRAINING, WHEN AND WHERE YOU CHOOSE.**

\*CD Rom for PC use only

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**Questions? Email [MACUA@CUwebtraining.com](mailto:MACUA@CUwebtraining.com) or call 406/442-2585.**

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